

# The Binomial Theorem

CS231  
Dianna Xu

1

## Combinatorial Proof

- A *combinatorial proof* is a proof that uses counting arguments to prove a theorem
  - Rather than some other method such as algebraic techniques
- Essentially, show that both sides of the proof manage to count the same objects
- In other words, a bijection between the two sets

2

## Pascal's Formula

- One of the most famous and useful in Combinatorics
- $C(n+1, r) = C(n, r-1) + C(n, r)$
- Recall another important combinatorial result:
- $C(n, r) = C(n, n-r)$

3

## Combinatorial Proof

- $C(n+1, r)$ :
  - # of ways to choose  $r$  elements from  $n+1$
- Remove an arbitrary element from  $n+1$ , call it  $a$ .
- Now form all possible subsets of size  $r$ .
  - These are all the subsets of size  $r$  you can have without  $a$ .
  - $C(n, r)$
- Now we need to account for subsets of size  $r$  with  $a$

4

## Combinatorial Proof

- Now we need to account for subsets of size  $r$  with  $a$ 
  - From the same  $n$  elements, form all possible subsets of size  $r-1$ , then add  $a$ .
  - $C(n, r-1)$

5

## Algebraic Proof

$$\binom{n+1}{k} = \binom{n}{k-1} + \binom{n}{k}$$

$$\frac{(n+1)!}{k!(n+1-k)!} = \frac{n!}{(k-1)!(n-(k-1))!} + \frac{n!}{k!(n-k)!}$$

$$\frac{(n+1)n!}{k(k-1)!(n+1-k)(n-k)!} = \frac{n!}{(k-1)!(n-k+1)(n-k)!} + \frac{n!}{k(k-1)!(n-k)!}$$

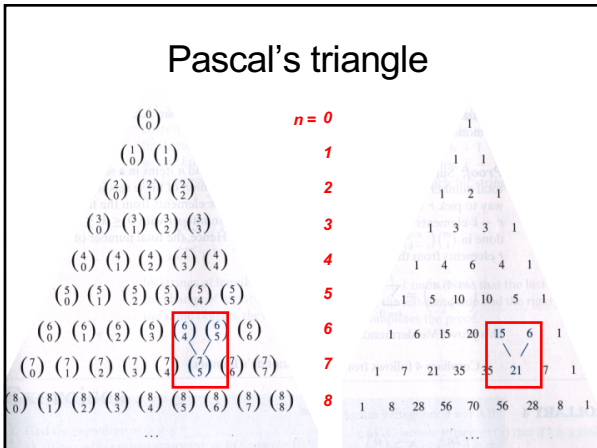
$$\frac{(n+1)}{k(n+1-k)} = \frac{1}{(n-k+1)} + \frac{1}{k}$$

$$\frac{(n+1)}{k(n+1-k)} = \frac{k}{k(n-k+1)} + \frac{(n-k+1)}{k(n-k+1)}$$

$$n+1 = k + n - k + 1$$

$$n+1 = n+1$$

**Substitutions:**  
 $(n-k+1)! = (n-k+1)(n-k)!$   
 $(n+1)! = (n+1)n!$   
 $k! = k(k-1)!$



### Binomial Coefficients

- A quick expansion of  $(x+y)^n$
- Why it's really important:
- It provides a good context to present proofs
  - Especially combinatorial proofs

8

### Polynomial Expansion

- Consider  $(x+y)^3$ :  $(x+y)^3 = x^3 + 3x^2y + 3xy^2 + y^3$
- Rephrase it as:

$$(x+y)(x+y)(x+y) = x^3 + [x^2y + x^2y + x^2y] + [xy^2 + xy^2 + xy^2] + y^3$$

- When choosing  $x$  twice and  $y$  once, there are  $C(3,2) = C(3,1) = 3$  ways to choose where the  $x$  comes from
- When choosing  $x$  once and  $y$  twice, there are  $C(3,2) = C(3,1) = 3$  ways to choose where the  $y$  comes from

9

### Polynomial expansion

- Consider  $(x+y)^5 = x^5 + 5x^4y + 10x^3y^2 + 10x^2y^3 + 5xy^4 + y^5$
- To obtain the  $x^5$  term
  - Each time you multiply by  $(x+y)$ , you select the  $x$
  - Thus, of the 5 choices, you choose  $x$  5 times or  $y$  0 times
    - $C(5,5) = 1 = C(5,0)$
- To obtain the  $x^4y$  term
  - Four of the times you multiply by  $(x+y)$ , you select the  $x$ 
    - The other time you select the  $y$
  - Thus, of the 5 choices, you choose  $x$  4 times or  $y$  1 time
    - $C(5,4) = 5 = C(5,1)$
- To obtain the  $x^3y^2$  term
  - $C(5,3) = C(5,2) = 10$

10

### Polynomial expansion

- For  $(x+y)^5$

$$(x+y)^5 = x^5 + 5x^4y + 10x^3y^2 + 10x^2y^3 + 5xy^4 + y^5$$

$$(x+y)^5 = \binom{5}{5}x^5 + \binom{5}{4}x^4y + \binom{5}{3}x^3y^2 + \binom{5}{2}x^2y^3 + \binom{5}{1}xy^4 + \binom{5}{0}y^5$$

11

### Polynomial Expansion: The Binomial Theorem

- For  $(x+y)^n$

$$(x+y)^n = \binom{n}{n}x^n y^0 + \binom{n}{n-1}x^{n-1}y^1 + \dots + \binom{n}{1}x^1y^{n-1} + \binom{n}{0}x^0y^n$$

$$= \binom{n}{0}x^n y^0 + \binom{n}{1}x^{n-1}y^1 + \dots + \binom{n}{n-1}x^1y^{n-1} + \binom{n}{n}x^0y^n$$

$$= \sum_{j=0}^n \binom{n}{j}x^{n-j}y^j$$

12

### Sample question

- Find the coefficient of  $x^5y^8$  in  $(x+y)^{13}$
- Answer:  $\binom{13}{5} = \binom{13}{8} = 1287$

13

### Examples

- What is the coefficient of  $x^{12}y^{13}$  in  $(x+y)^{25}$ ?  

$$\binom{25}{12} = \binom{25}{13} = \frac{25!}{13!12!} = 5,200,300$$
- What is the coefficient of  $x^{12}y^{13}$  in  $(2x-3y)^{25}$ ?  

$$(2x + (-3y))^{25} = \sum_{j=0}^{25} \binom{25}{j} (2x)^{25-j} (-3y)^j$$
  - The coefficient occurs when  $j=13$ :
$$\binom{25}{13} 2^{12} (-3)^{13} = \frac{25!}{13!12!} 2^{12} (-3)^{13} = -33,959,763,545,702,400$$

14

### Pascal's Triangle

15

### Corollary 1 and Algebraic Proof

$$\sum_{k=0}^n \binom{n}{k} = 2^n, n \geq 0$$

- Algebraic proof

$$2^n = (1+1)^n \xrightarrow{\text{red arrow}} (x+y)^n = \sum_{j=0}^n \binom{n}{j} x^{n-j} y^j$$

$$= \sum_{k=0}^n \binom{n}{k} 1^k 1^{n-k} \xleftarrow{\text{red arrow}}$$

$$= \sum_{k=0}^n \binom{n}{k}$$

16

### Combinatorial Proof $\sum_{k=0}^n \binom{n}{k} = 2^n, n \geq 0$

- A set with  $n$  elements has  $2^n$  subsets
  - By definition of and cardinality of power set
- Each subset has either 0 or 1 or 2 or ... or  $n$  elements
  - There are  $\binom{n}{0}$  subsets with 0 elements,
  - $\binom{n}{1}$  subsets with 1 element, ...
  - and  $\binom{n}{n}$  subsets with  $n$  elements
  - Thus, the total number of subsets is  $\sum_{k=0}^n \binom{n}{k}$

17

### Pascal's Triangle

18

### Corollary 2

$$\sum_{k=0}^n (-1)^k \binom{n}{k} = 0, n \geq 1$$

- Algebraic proof  $0 = 0^n$

$$\begin{aligned} &= ((-1) + 1)^n \xrightarrow{\text{red arrow}} (x + y)^n = \sum_{j=0}^n \binom{n}{j} x^{n-j} y^j \\ &= \sum_{k=0}^n \binom{n}{k} (-1)^k 1^{n-k} \xleftarrow{\text{red arrow}} \\ &= \sum_{k=0}^n \binom{n}{k} (-1)^k \end{aligned}$$

- This implies that

$$\binom{n}{0} + \binom{n}{2} + \binom{n}{4} + \dots = \binom{n}{1} + \binom{n}{3} + \binom{n}{5} + \dots$$

19

### Corollary 3

- Let  $n$  be a non-negative integer. Then

$$\sum_{k=0}^n 2^k \binom{n}{k} = 3^n$$

- Algebraic proof

$$\begin{aligned} 3^n &= (1 + 2)^n \\ &= \sum_{k=0}^n \binom{n}{k} 1^{n-k} 2^k \\ &= \sum_{k=0}^n \binom{n}{k} 2^k \end{aligned}$$

20

### Vandermonde's identity

- Let  $m$ ,  $n$ , and  $r$  be non-negative integers with  $r$  not exceeding either  $m$  or  $n$ . Then

$$\binom{m+n}{r} = \sum_{k=0}^r \binom{m}{r-k} \binom{n}{k}$$

- Assume a congressional committee must consist of  $r$  people, and there are  $n$  Democrats and  $m$  Republicans
  - How many ways are there to pick the committee?

21

### Combinatorial proof of Vandermonde's identity

$$\binom{m+n}{r} = \sum_{k=0}^r \binom{m}{r-k} \binom{n}{k}$$

- Consider two sets, one with  $m$  items and one with  $n$  items
  - Then there are  $\binom{m+n}{r}$  ways to choose  $r$  items from the union of those two sets
- Next, we find that value via a different means
  - Pick  $k$  elements from the set with  $n$  elements
  - Pick the remaining  $r-k$  elements from the set with  $m$  elements
  - Via the product rule, there are  $\binom{m}{r-k} \binom{n}{k}$  ways to do that for **EACH** value of  $k$
  - Lastly, consider this for all values of  $k$ :  $\sum_{k=0}^r \binom{m}{r-k} \binom{n}{k}$
- Thus,  $\binom{m+n}{r} = \sum_{k=0}^r \binom{m}{r-k} \binom{n}{k}$

22

### More Combinatorial Proofs

- $n^3 - n = 6C(n,2) + 6C(n,3)$
- $n^3 - n = (n+1)n(n-1)$
- $= n(n-1)(n-2) + 3n(n-1)$
- $n^3 - n = P(n+1, 3)$

23