

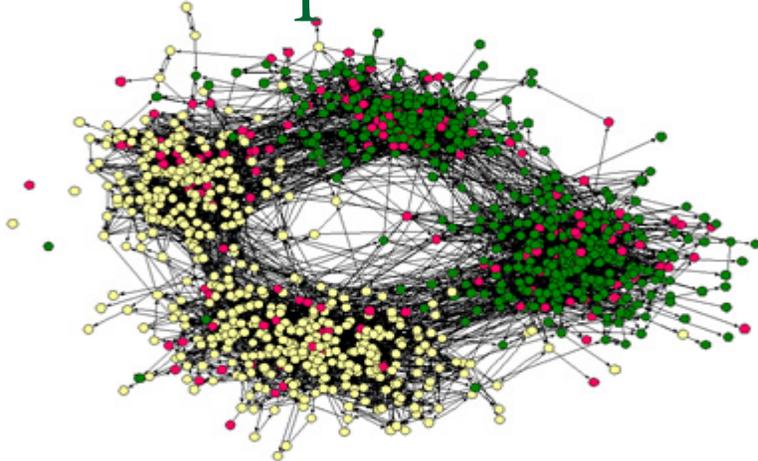
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# CMSC 206

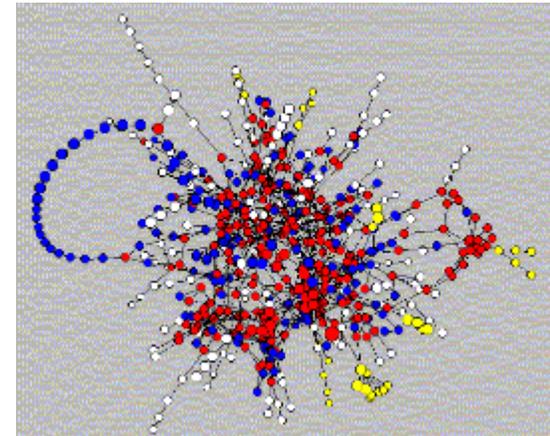
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## Graphs

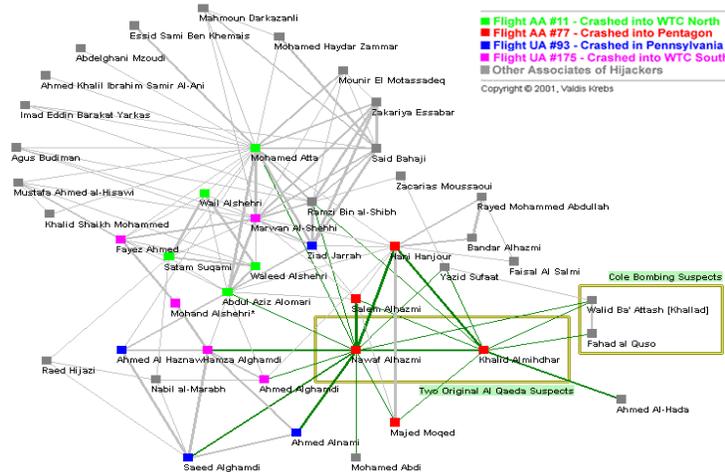
# Example Relational Networks



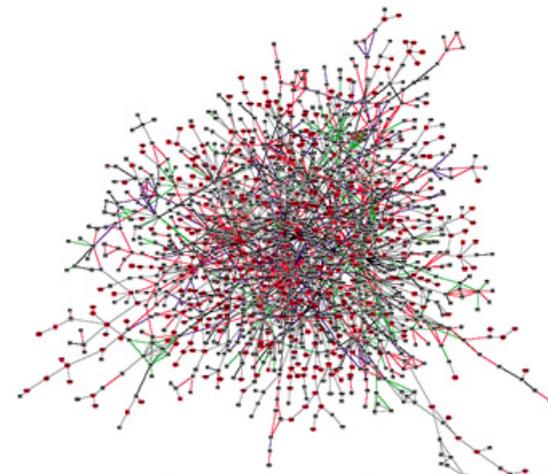
School Friendship Network  
(from Moody 2001)



Yeast Metabolic Network  
(from <https://www.nd.edu/~networks/cell/>)

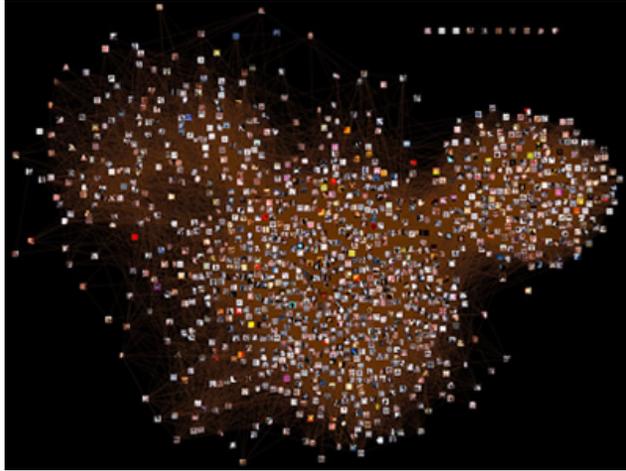


Terrorist Network  
(by Valdis Krebs, Orgnet.com)



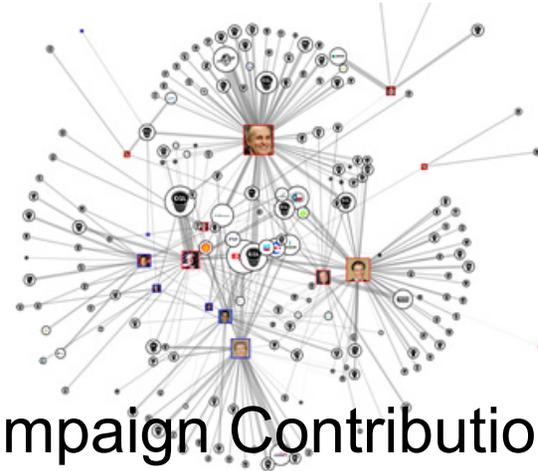
Protein-Protein Interactions  
(by Peter Uetz)

# More Relational Networks



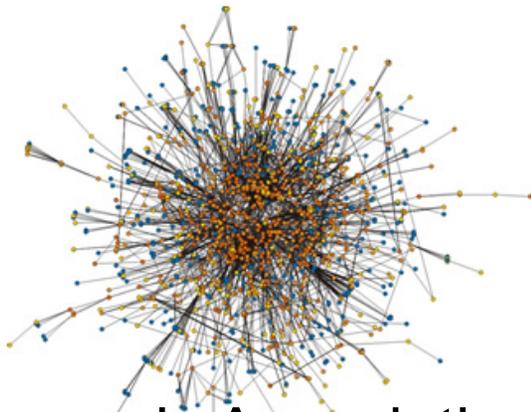
**Flickr Social Network**

(from <http://www.flickr.com/photos/gustavog/sets/164006/>)



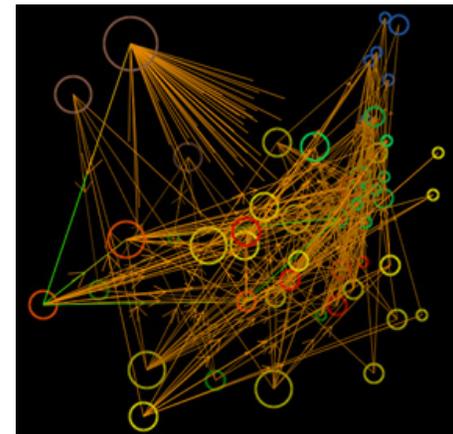
**Campaign Contributions  
from Oil Companies**

(from <http://oilmoney.priceoil.org/>)



**Genomic Associations**

(from Snel et al., 2002)



**Seagrass Food Web**

(generated at <http://drjoe.biology.ecu.edu>)

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# Basic Graph Definitions

- A **graph**  $G = (V, E)$  consists of a finite set of **vertices**,  $V$ , and a finite set of **edges**,  $E$ .
- Each edge is a pair  $(v, w)$  where  $v, w \in V$ .
  - $V$  and  $E$  are sets, so each vertex  $v \in V$  is unique, and each edge  $e \in E$  is unique.
  - Edges are sometimes called **arcs** or **lines**.
  - Vertices are sometimes called **nodes** or **points**.

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# Graph Applications

- Graphs can be used to model a wide range of applications including
- Intersections and streets within a city
- Roads/trains/airline routes connecting cities/countries
- Computer networks
- Electronic circuits

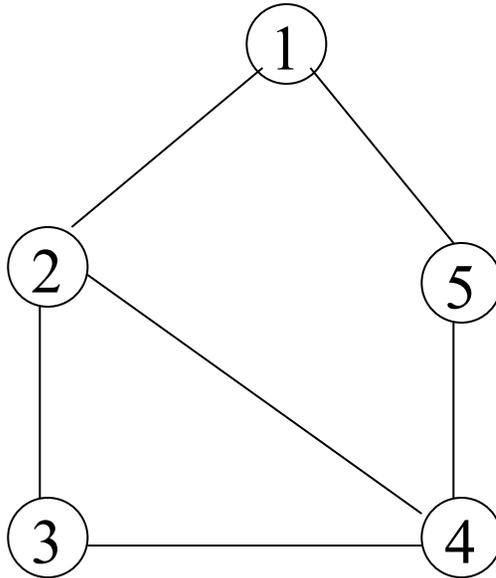
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## Basic Graph Definitions (2)

- A **directed graph** is a graph in which the edges are ordered pairs.  
That is,  $(u,v) \neq (v,u)$ ,  $u, v \in V$ .  
Directed graphs are sometimes called **digraphs**.
- An **undirected graph** is a graph in which the edges are unordered pairs.  
That is,  $(u,v) = (v,u)$ .
- A **sparse graph** is one with “few” edges.  
That is  $|E| = O(|V|)$
- A **dense graph** is one with “many” edges.  
That is  $|E| = O(|V|^2)$

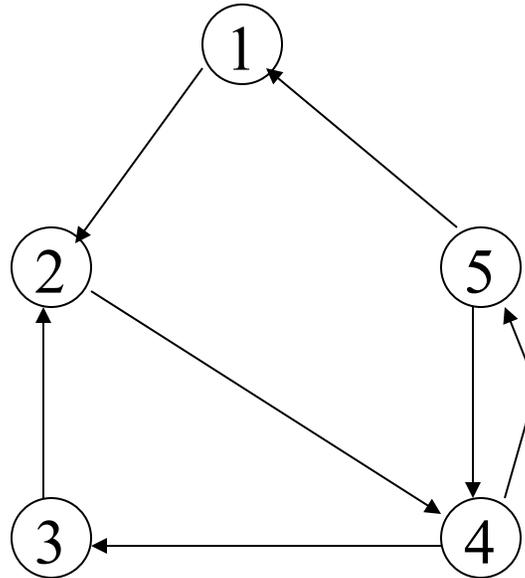
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# Undirected Graph



- All edges are two-way. Edges are unordered pairs.
- $V = \{ 1, 2, 3, 4, 5 \}$
- $E = \{ (1,2), (2, 3), (3, 4), (2, 4), (4, 5), (5, 1) \}$

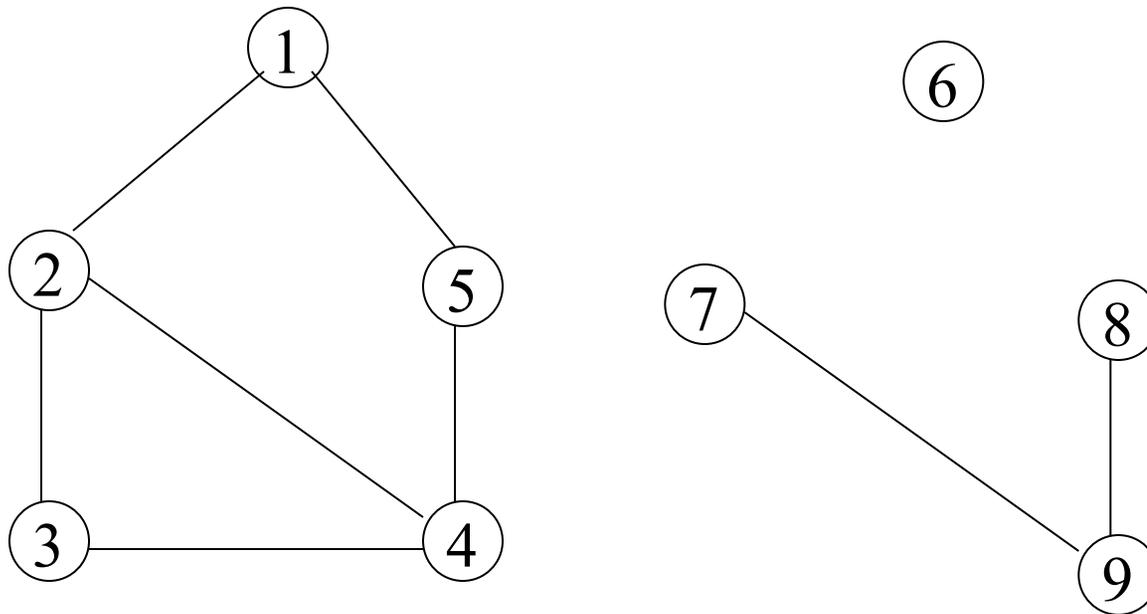
# Directed Graph



- All edges are “one-way” as indicated by the arrows. Edges are ordered pairs.
- $V = \{ 1, 2, 3, 4, 5 \}$
- $E = \{ (1, 2), (2, 4), (3, 2), (4, 3), (4, 5), (5, 4), (5, 1) \}$

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# A Single Graph with Multiple Components



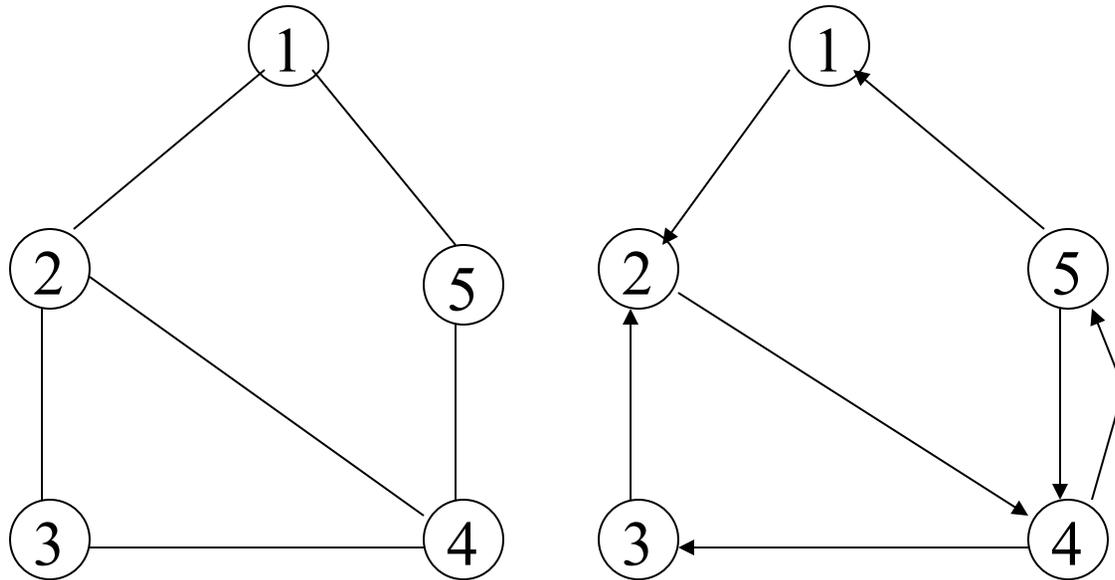
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## Basic Graph Definitions (3)

- Vertex  $w$  is **adjacent to** vertex  $v$  if and only if  $(v, w) \in E$ .
- For undirected graphs, with edge  $(v, w)$ , and hence also  $(w, v)$ ,  $w$  is adjacent to  $v$  and  $v$  is adjacent to  $w$ .
- An edge may also have:
  - **weight** or **cost** -- an associated value
  - **label** -- a unique name
- The **degree** of a vertex,  $v$ , is the number of vertices adjacent to  $v$ . Degree is also called *valence*.

# Basic Graph Definitions (4)

- For directed graphs vertex  $w$  is **adjacent to** vertex  $v$  if and only if  $(v, w) \in E$ .
- **Indegree** of a vertex  $w$  is the number of edges  $(v, w)$ .
- **OutDegree** of a vertex  $w$  is the number of edges  $(w, v)$ .

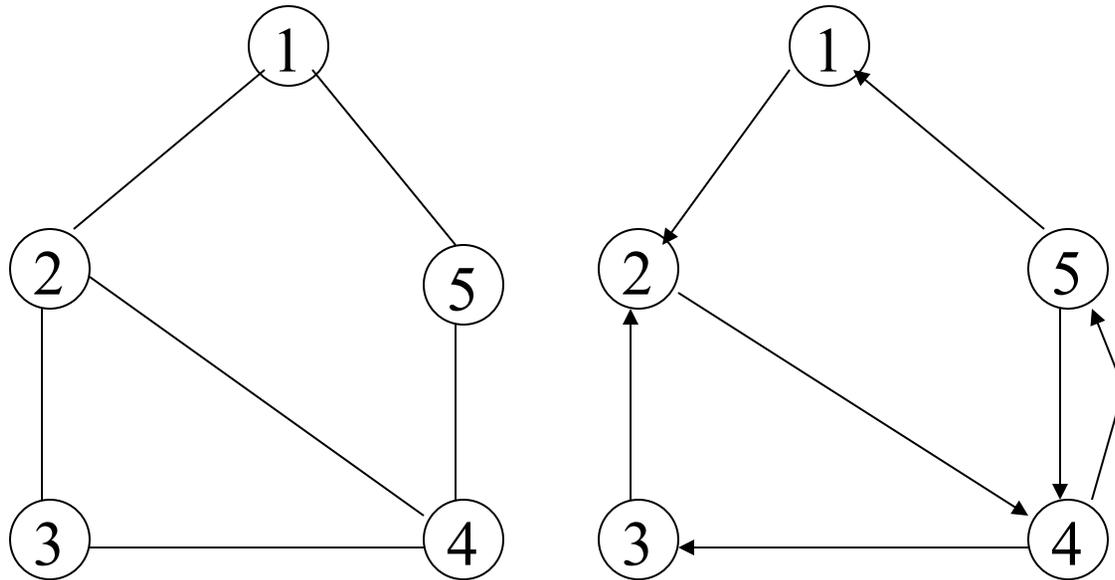


# Paths in Graphs

- A **path** in a graph is a sequence of vertices  $w_1, w_2, w_3, \dots, w_n$  such that  $(w_i, w_{i+1}) \in E$  for  $1 \leq i < n$ .
- The **length** of a path in a graph is the number of edges on the path. The length of the path from a vertex to itself is 0.
- A **simple path** is a path such that all vertices are distinct, except that the first and last may be the same.
- A **cycle** in a graph is a path  $w_1, w_2, w_3, \dots, w_n, w \in V$  such that:
  - there are at least two vertices on the path
  - $w_1 = w_n$  (the path starts and ends on the same vertex)
  - if any part of the path contains the subpath  $w_i, w_j, w_i$ , then each of the edges in the subpath is distinct (i. e., no backtracking along the same edge)
- A **simple cycle** is one in which the path is simple.
- A directed graph with no cycles is called a **directed acyclic graph**, often abbreviated as DAG

## Paths in Graphs (2)

- How many simple paths from 1 to 4 and what are their lengths?



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# Connectedness in Graphs

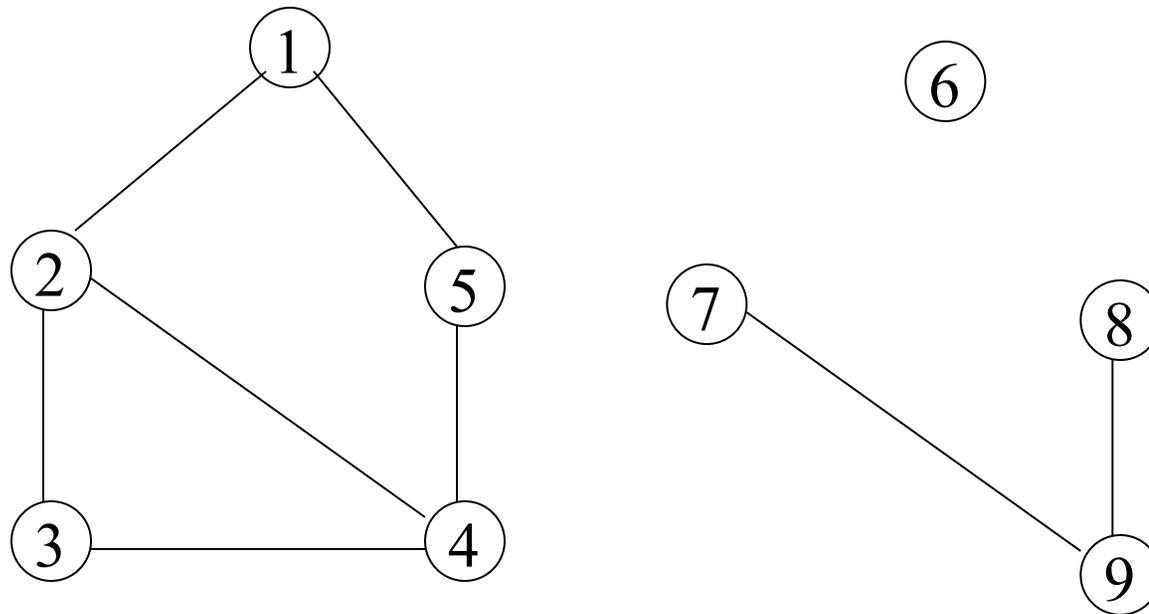
- An undirected graph is **connected** if there is a path from every vertex to every other vertex.
- A directed graph is **strongly connected** if there is a path from every vertex to every other vertex.
- A directed graph is **weakly connected** if there would be a path from every vertex to every other vertex, disregarding the direction of the edges.
- A **complete** graph is one in which there is an edge between every pair of vertices.
- A **connected component** of a graph is any maximal connected subgraph. Connected components are sometimes simply called **components**.

---

# Disjoint Sets and Graphs

- Disjoint sets can be used to determine connected components of an undirected graph.
- For each edge, place its two vertices ( $u$  and  $v$ ) in the same set -- i.e.  $\text{union}(u, v)$
- When all edges have been examined, the forest of sets will represent the connected components.
- Two vertices,  $x, y$ , are connected if and only if  $\text{find}(x) = \text{find}(y)$

# Undirected Graph/Disjoint Set Example



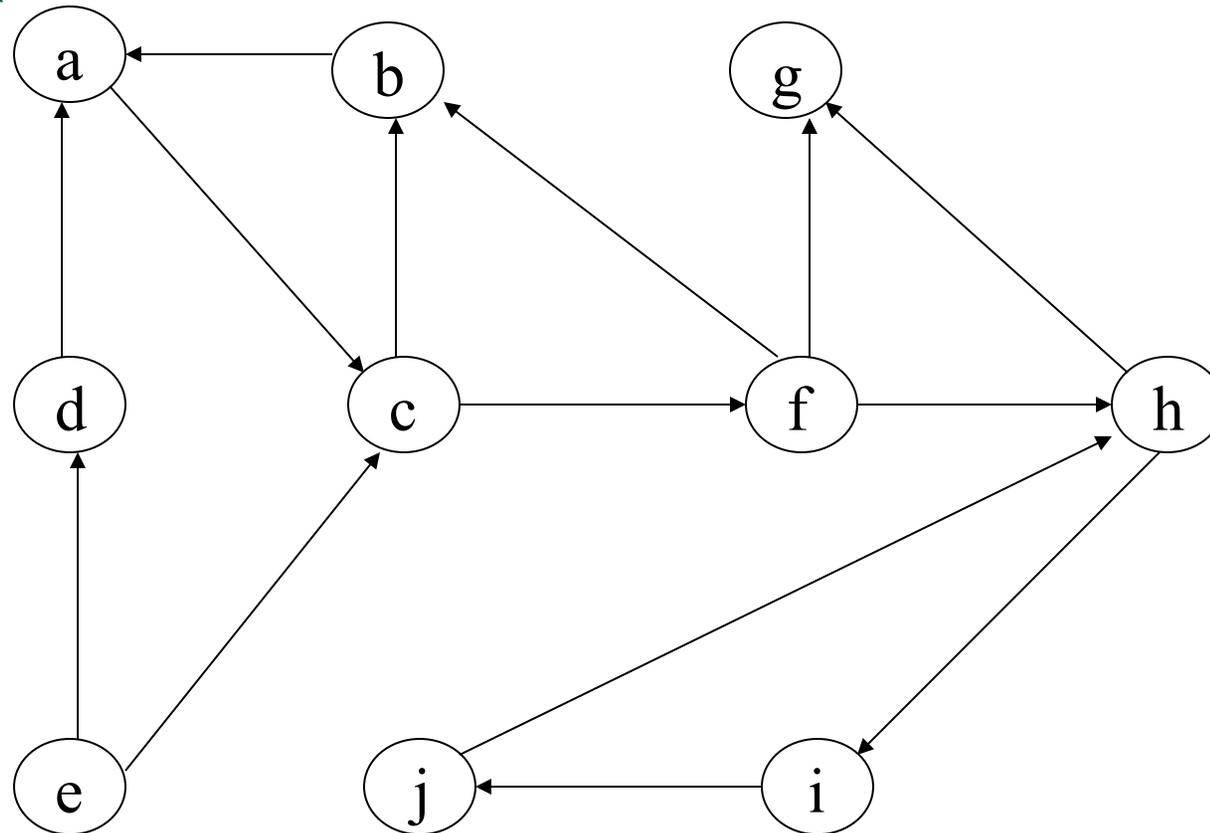
Sets representing connected components

$\{ 1, 2, 3, 4, 5 \}$

$\{ 6 \}$

$\{ 7, 8, 9 \}$

# DiGraph / Strongly Connected Components



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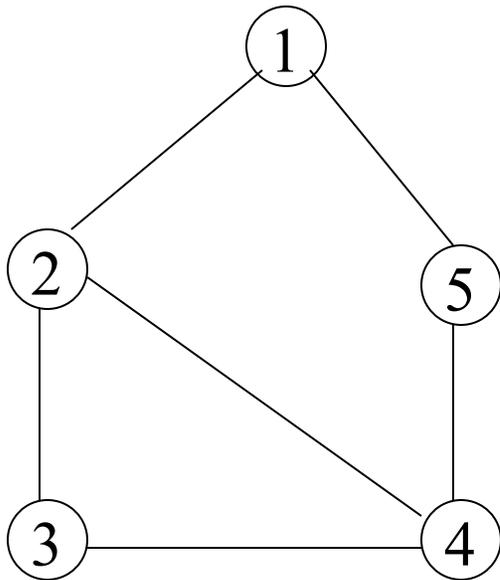
# A Graph ADT

- Has some data elements
  - Vertices and Edges
- Has some operations
  - `getDegree( u )` -- Returns the degree of vertex `u` (outdegree of vertex `u` in directed graph)
  - `getAdjacent( u )` -- Returns a list of the vertices **adjacent to** vertex `u` (list of vertices that `u` points to for a directed graph)
  - `isAdjacentTo( u, v )` -- Returns `TRUE` if vertex `v` is adjacent to vertex `u`, `FALSE` otherwise.
- Has some associated algorithms to be discussed.

# Adjacency Matrix Implementation

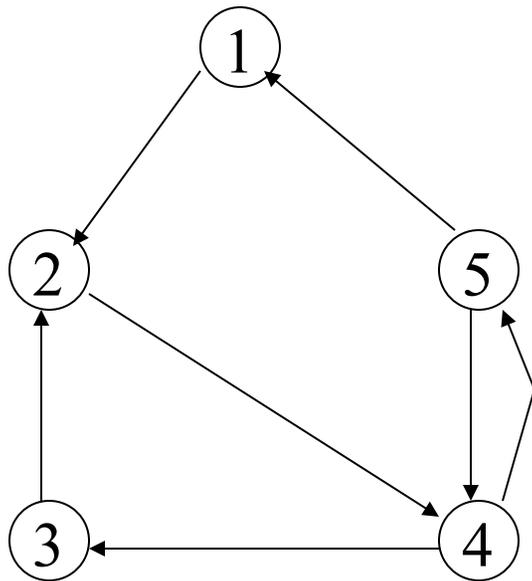
- Uses array of size  $|V| \times |V|$  where each entry  $(i, j)$  is boolean
  - TRUE if there is an edge from vertex  $i$  to vertex  $j$
  - FALSE otherwise
  - store weights when edges are weighted
- Very simple, but large space requirement =  $O(|V|^2)$
- Appropriate if the graph is dense.
- Otherwise, most of the entries in the table are FALSE.
- For example, if a graph is used to represent a street map like Manhattan in which most streets run E/W or N/S, each intersection is attached to only 4 streets and  $|E| < 4 * |V|$ . If there are 3000 intersections, the table has 9,000,000 entries of which only 12,000 are TRUE.

# Undirected Graph / Adjacency Matrix



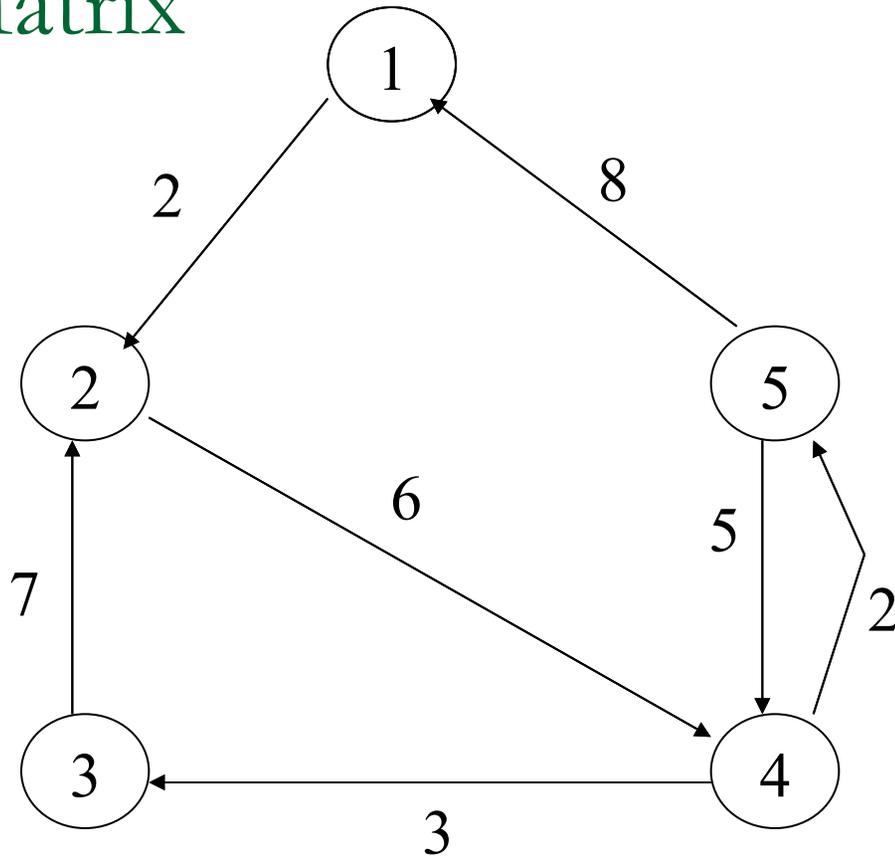
	<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>
<b>1</b>	0	1	0	0	1
<b>2</b>	1	0	1	1	0
<b>3</b>	0	1	0	1	0
<b>4</b>	0	1	1	0	1
<b>5</b>	1	0	0	1	0

# Directed Graph / Adjacency Matrix



	1	2	3	4	5
1	0	1	0	0	0
2	0	0	0	1	0
3	0	1	0	0	0
4	0	0	1	0	1
5	1	0	0	1	0

# Weighted, Directed Graph / Adjacency Matrix



	<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>
<b>1</b>	0	2	0	0	0
<b>2</b>	0	0	0	6	0
<b>3</b>	0	7	0	0	0
<b>4</b>	0	0	3	0	2
<b>5</b>	8	0	0	5	0

# Adjacency Matrix Performance

- Storage requirement:  $O(|V|^2)$
- Performance:

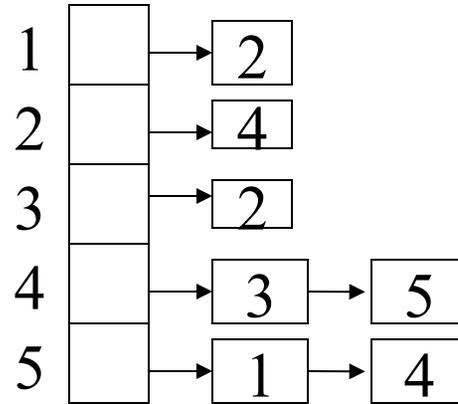
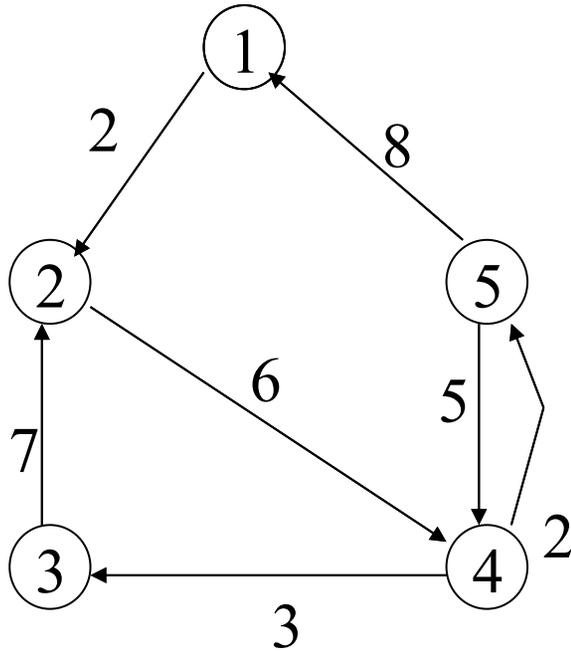
getDegree ( u )	
isAdjacentTo( u, v )	
getAdjacent( u )	

---

# Adjacency List Implementation

- If the graph is sparse, then keeping a list of adjacent vertices for each vertex saves space. Adjacency Lists are the commonly used representation. The lists may be stored in a data structure or in the Vertex object itself.
  - **Vector of lists:** A vector of lists of vertices. The  $i$ -th element of the vector is a list,  $L_i$ , of the vertices adjacent to  $v_i$ .
- If the graph is sparse, then the space requirement is  $O(|E| + |V|)$ , “linear in the size of the graph”
- If the graph is dense, then the space requirement is  $O(|V|^2)$

# Vector of Lists



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# Adjacency List Performance

- Storage requirement:
- Performance:

getDegree( u )	
isAdjacentTo( u, v )	
getAdjacent( u )	

---

# Graph Traversals

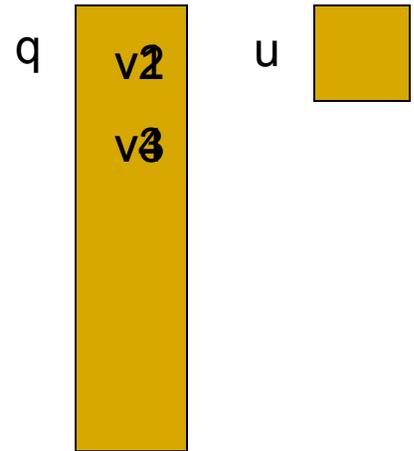
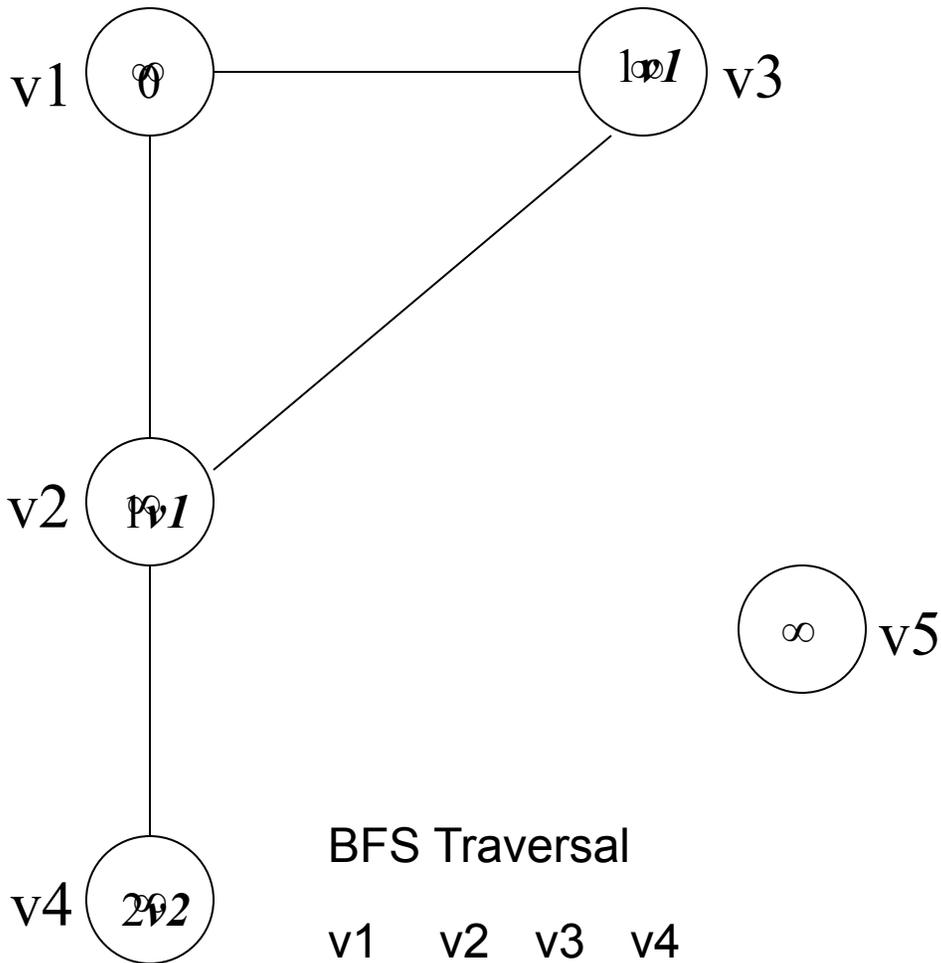
- Like trees, graphs can be traversed breadth-first or depth-first.
  - Use stack (or recursion) for depth-first traversal
  - Use queue for breadth-first traversal
- Unlike trees, we need to specifically guard against repeating a path from a cycle. Mark each vertex as “visited” when we encounter it and do not consider visited vertices more than once.

# Breadth-First Traversal

```
void bfs()
{
    Queue<Vertex> q;
    Vertex u, w;

    for all v in V, d[v] =  $\infty$            // mark each vertex unvisited
    q.enqueue(startvertex);                 // start with any vertex
    d[startvertex] = 0;                     // mark visited
    while ( !q.isEmpty() ) {
        u = q.dequeue( );
        for each Vertex w adjacent to u {
            if (d[w] ==  $\infty$ ) {           // w not marked as visited
                d[w] = d[u]+1; // mark visited
                path[w] = u; // where we came from
                q.enqueue(w);
            }
        }
    }
}
```

# Breadth-First Example



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# Unweighted Shortest Path Problem

- Unweighted shortest-path problem: Given as input an unweighted graph,  $G = (V, E)$ , and a distinguished starting vertex,  $s$ , find the shortest unweighted path from  $s$  to every other vertex in  $G$ .
- After running BFS algorithm with  $s$  as starting vertex, the length of the shortest path length from  $s$  to  $i$  is given by  $d[i]$ . If  $d[i] = \infty$ , then there is no path from  $s$  to  $i$ . The path from  $s$  to  $i$  is given by traversing  $path[]$  backwards from  $i$  back to  $s$ .

---

# Recursive Depth First Traversal

```
void dfs() {
    for (each v ∈ V)
        dfs(v)
}

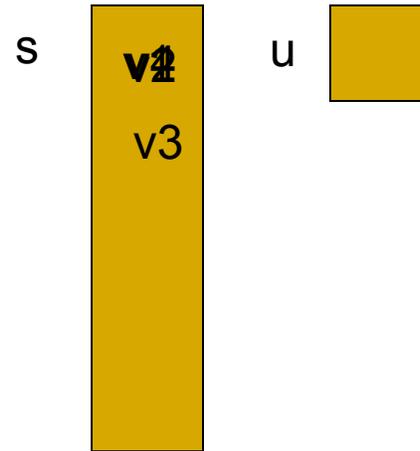
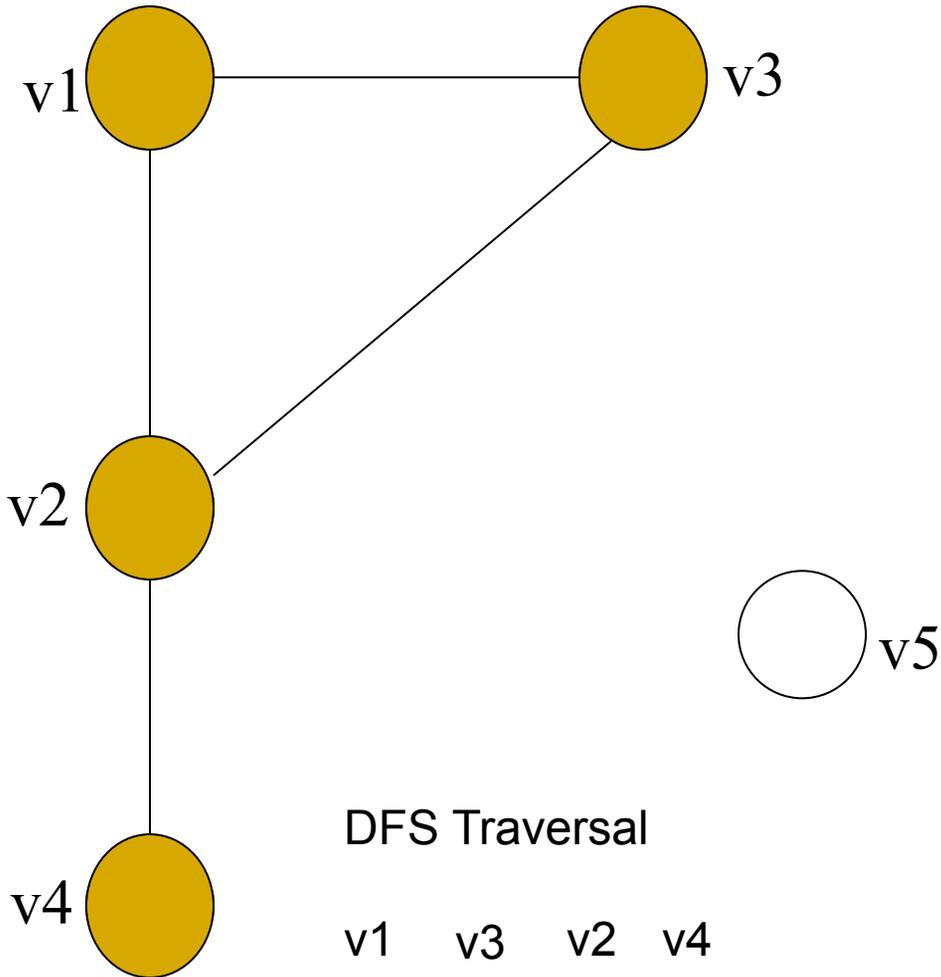
void dfs(Vertex v)
{
    if (!v.visited)
    {
        v.visited = true;
        for each Vertex w adjacent to v)
            if ( !w.visited )
                dfs(w)
    }
}
```

---

# DFS with explicit stack

```
void dfs()
{
    Stack<Vertex> s;
    Vertex u, w;
    s.push(startvertex);
    startvertex.visited = true;
    while ( !s.isEmpty() ) {
        u = s.pop();
        for each Vertex w adjacent to u {
            if (!w.visited) {
                w.visited = true;
                s.push(w);
            }
        }
    }
}
```

# DFS Example



---

# Traversal Performance

- What is the performance of DF and BF traversal?
- Each vertex appears in the stack or queue exactly once in the worst case. Therefore, the traversals are at least  $O(|V|)$ .  
However, at each vertex, we must find the adjacent vertices. Therefore, df- and bf-traversal performance depends on the performance of the `getAdjacent` operation.

---

# GetAdjacent

- Method 1: Look at every vertex (except  $u$ ), asking “are you adjacent to  $u$ ?”

```
List<Vertex> L;  
for each Vertex v except u  
    if (v.isAdjacentTo(u))  
        L.push_back(v);
```

- Assuming  $O(1)$  performance for `push_back` and `isAdjacentTo`, then `getAdjacent` has  $O(|V|)$  performance and traversal performance is  $O(|V|^2)$ ;

## GetAdjacent (2)

- Method 2: Look only at the edges which impinge on  $u$ . Therefore, at each vertex, the number of vertices to be looked at is  $D(u)$ , the degree of the vertex
- This approach is  $O(D(u))$ . The traversal performance is

$$O\left(\sum_{i=1}^{|V|} D(v_i)\right) = O(|E|)$$

since `getAdjacent` is done  $O(|V|)$  times.

- However, in a disconnected graph, we must still look at every vertex, so the performance is  $O(|V| + |E|)$ .

# Number of Edges

- Theorem: The number of edges in an undirected graph  $G = (V, E)$  is  $O(|V|^2)$
- Proof: Suppose  $G$  is fully connected. Let  $p = |V|$ .
- Then we have the following situation:

vertex	connected to
1	2,3,4,5,..., p
2	1,3,4,5,..., p
...	
p	1,2,3,4,...,p-1

- There are  $p(p-1)/2 = O(|V|^2)$  edges.
- So  $O(|E|) = O(|V|^2)$ .

---

## Weighted Shortest Path Problem

Single-source shortest-path problem:

Given as input a weighted graph,  $G = (V, E)$ , and a distinguished starting vertex,  $s$ , find the shortest weighted path from  $s$  to every other vertex in  $G$ .

Use Dijkstra's algorithm

- Keep tentative distance for each vertex giving shortest path length using vertices visited so far.
- Record vertex visited before this vertex (to allow printing of path).
- At each step choose the vertex with smallest distance among the unvisited vertices (greedy algorithm).

---

# Dijkstra's Algorithm

- The pseudo code for Dijkstra's algorithm assumes the following structure for a Vertex object

```
class Vertex
{
    public List adj;          //Adjacency list
    public boolean known;
    public DisType dist;    //DisType is probably int
    public Vertex path;
    //Other fields and methods as needed
}
```

---

# Dijkstra's Algorithm

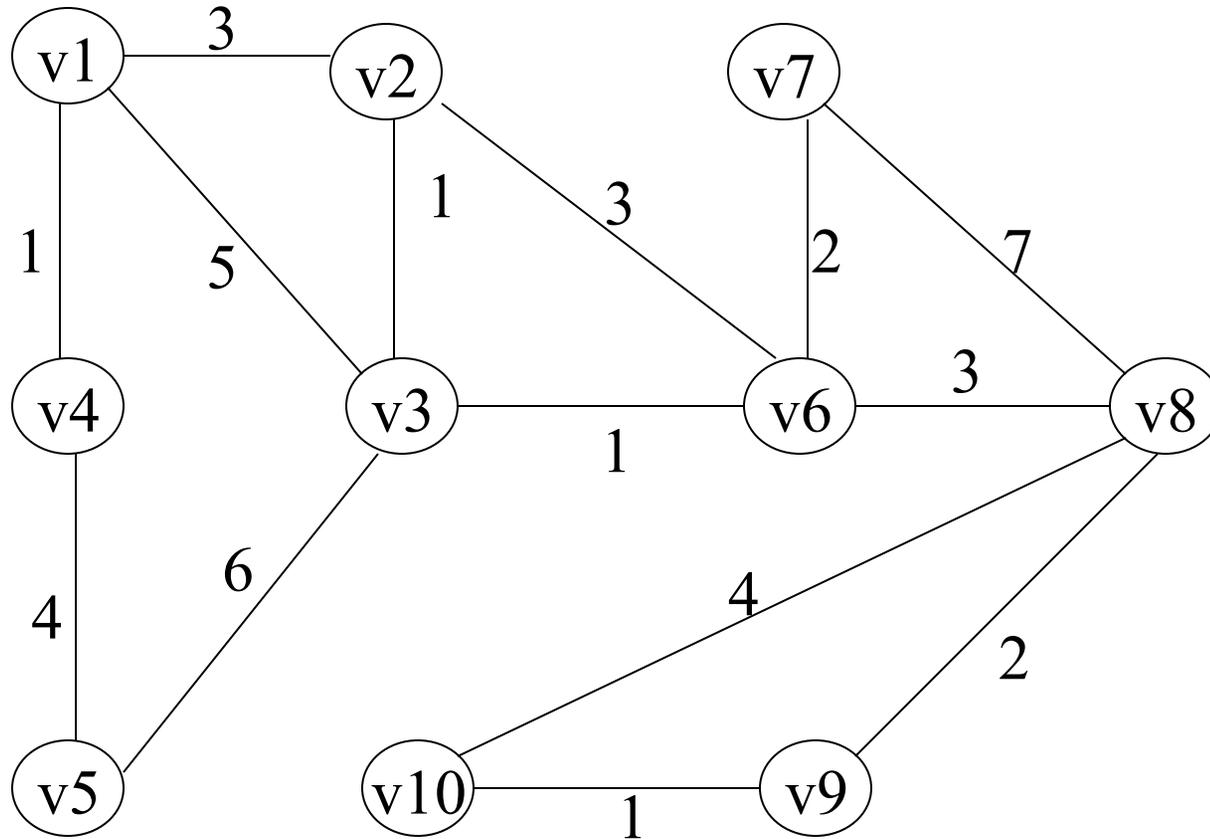
```
void dijkstra(Vertex start)
{
    for each Vertex v in V {
        v.dist = Integer.MAX_VALUE;
        v.known = false;
        v.path = null;
    }

    start.distance = 0;

    while there are unknown vertices {
        v = unknown vertex with smallest distance
        v.known = true;
        for each Vertex w adjacent to v
            if (!w.known)
                if (v.dist + weight(v, w) < w.distance) {
                    decrease(w.dist to v.dist + weight(v, w))
                    w.path = v;
                }
    }
}
```

---

# Dijkstra Example



---

# Correctness of Dijkstra's Algorithm

- The algorithm is correct because of a property of shortest paths:
  - If  $P_k = v_1, v_2, \dots, v_j, v_k$  is a shortest path from  $v_1$  to  $v_k$ , then  $P_j = v_1, v_2, \dots, v_j$  must be a shortest path from  $v_1$  to  $v_j$ . Otherwise  $P_k$  would not be as short as possible since  $P_k$  extends  $P_j$  by just one edge (from  $v_j$  to  $v_k$ )
  - Also,  $P_j$  must be shorter than  $P_k$  (assuming that all edges have positive weights). So the algorithm must have found  $P_j$  on an earlier iteration than when it found  $P_k$ .
  - i.e. Shortest paths can be found by extending earlier known shortest paths by single edges, which is what the algorithm does.
-

# Running Time of Dijkstra's Algorithm

- The running time depends on how the vertices are manipulated.
- The main 'while' loop runs  $O(|V|)$  time (once per vertex)
- Finding the "unknown vertex with smallest distance" (inside the while loop) can be a simple linear scan of the vertices and so is also  $O(|V|)$ . With this method the total running time is  $O(|V|^2)$ . This is acceptable (and perhaps optimal) if the graph is dense ( $|E| = O(|V|^2)$ ) since it runs in linear time on the number of edges.
- If the graph is sparse, ( $|E| = O(|V|)$ ), we can use a priority queue to select the unknown vertex with smallest distance, using the deleteMin operation ( $O(\lg |V|)$ ). We must also decrease the path lengths of some unknown vertices, which is also  $O(\lg |V|)$ . The deleteMin operation is performed for every vertex, and the "decrease path length" is performed for every edge, so the running time is  
 $O(|E| \lg |V| + |V| \lg |V|) = O((|V| + |E|) \lg |V|) = O(|E| \lg |V|)$  if all vertices are reachable from the starting vertex

---

# Dijkstra and Negative Edges

- Note in the previous discussion, we made the assumption that all edges have positive weight. If any edge has a negative weight, then Dijkstra's algorithm fails. Why is this so?
- Suppose a vertex,  $u$ , is marked as “known”. This means that the shortest path from the starting vertex,  $s$ , to  $u$  has been found.
- However, it's possible that there is negatively weighted edge from an unknown vertex,  $v$ , back to  $u$ . In that case, taking the path from  $s$  to  $v$  to  $u$  is actually shorter than the path from  $s$  to  $u$  without going through  $v$ .
- Other algorithms exist that handle edges with negative weights for weighted shortest-path problem.

---

# Directed Acyclic Graphs

- A **directed acyclic graph** is a directed graph with no cycles.
- A **strict partial order**  $R$  on a set  $S$  is a binary relation such that
  - for all  $a \in S$ ,  $aRa$  is false (irreflexive property)
  - for all  $a, b, c \in S$ , if  $aRb$  and  $bRc$  then  $aRc$  is true (transitive property)
- To represent a partial order with a DAG:
  - represent each member of  $S$  as a vertex
  - for each pair of vertices  $(a, b)$ , insert an edge from  $a$  to  $b$  if and only if  $aRb$

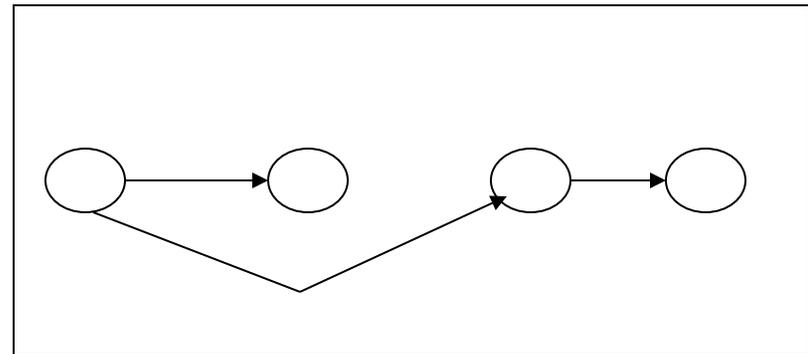
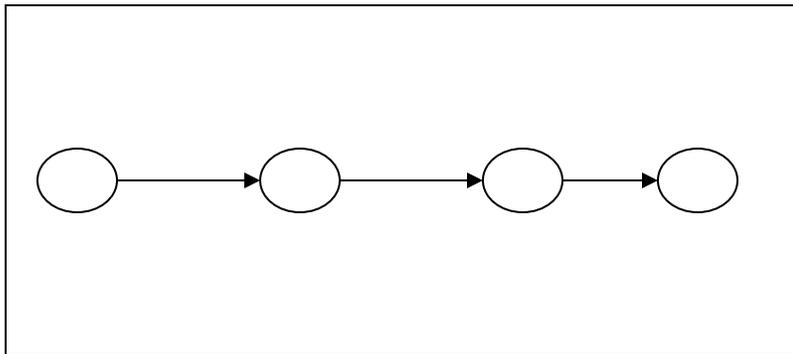
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# More Definitions

- Vertex  $i$  is a **predecessor** of vertex  $j$  if and only if there is a path from  $i$  to  $j$ .
- Vertex  $i$  is an **immediate predecessor** of vertex  $j$  if and only if  $(i, j)$  is an edge in the graph.
- Vertex  $j$  is a **successor** of vertex  $i$  if and only if there is a path from  $i$  to  $j$ .
- Vertex  $j$  is an **immediate successor** of vertex  $i$  if and only if  $(i, j)$  is an edge in the graph.
- The **indegree** of a vertex,  $v$ , is the number of edges  $(u, v)$ , i.e. the number of edges that come “into”  $v$ .

# Topological Ordering

- A topological ordering of the vertices of a DAG  $G = (V, E)$  is a linear ordering such that, for vertices  $i, j \in V$ , if  $i$  is a predecessor of  $j$ , then  $i$  precedes  $j$  in the linear order, i.e. if there is a path from  $v_i$  to  $v_j$ , then  $v_i$  comes before  $v_j$  in the linear order



---

# Topological Sort

```
void topsort( ) throws CycleFoundException
{
    Queue<Vertex> q = new Queue<Vertex>( );
    int counter = 0;

    for each Vertex v
        if( v.indegree == 0 )
            q.enqueue( v );

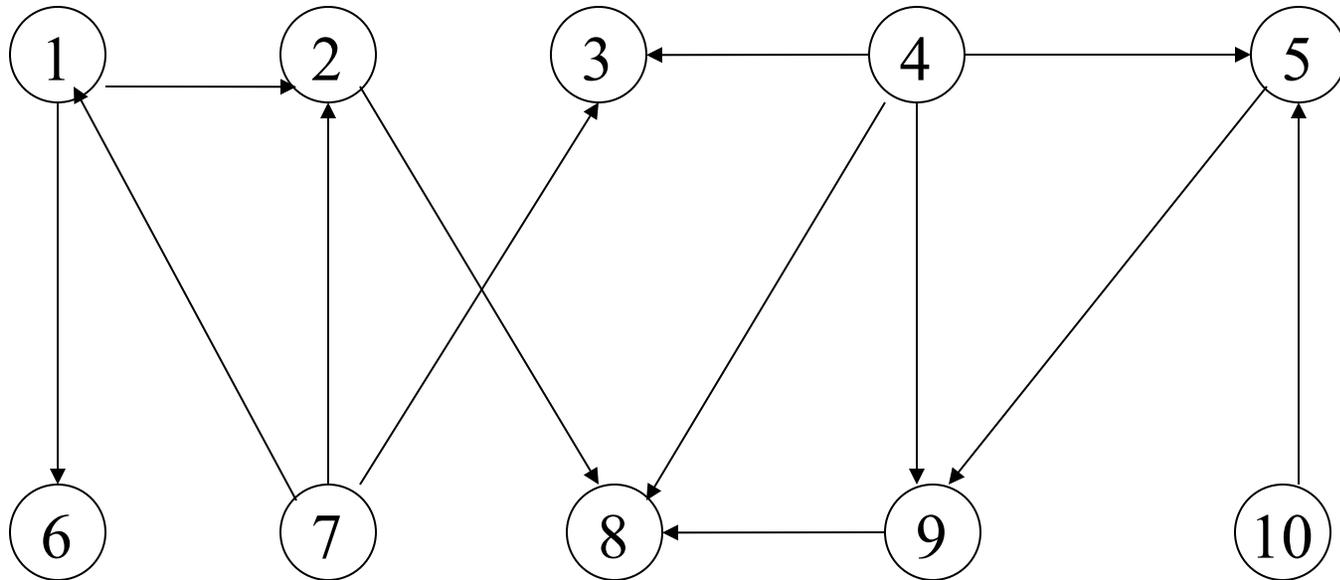
    while( !q.isEmpty( ) )
    {
        Vertex v = q.dequeue( );
        v.topNum = ++counter; // Assign next number

        for each Vertex w adjacent to v
            if( --w.indegree == 0 )
                q.enqueue( w );
    }

    if( counter != NUM_VERTICES )
        throw new CycleFoundException( );
}
```

---

# TopSort Example



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# Running Time of TopSort

1. At most, each vertex is enqueued just once, so there are  $O(|V|)$  constant time queue operations.
2. The body of the for loop is executed at most once per edges =  $O(|E|)$
3. The initialization is proportional to the size of the graph if adjacency lists are used =  $O(|E| + |V|)$
4. The total running time is therefore  $O(|E| + |V|)$